



Practitioner's Docket No. 2002DE119

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Felix W. Grimm et al.

Application No.: 10/520,838

Group No.:

Filed: January 7, 2005

For: Novel Diketopyrrolopyrrole Pigments

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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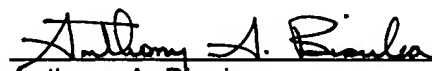
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

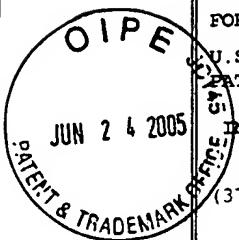


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|--|--|-------------------------------|--------------------------|
| FORM PTO-1449  |  | ATTY. DOCKET NO.<br>2002DE119 | SERIAL NO.<br>10/520,838 |
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  |                               |                          |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT              |  |                               |                          |
| (37 CFR 1.98(b))   |  |                               |                          |
| APPLICANT: Felix W. Grimm et al.                           |  |                               |                          |
| FILING DATE: 1/7/2005                                      |  | GROUP:                        |                          |

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Patent Number | Issue Dte | Patentee         | Class | Subclass | Filing Date If Appropriate |
|------------------|----|---------------|-----------|------------------|-------|----------|----------------------------|
|                  | AA | 6207697       | 3/27/01   | Han et al.       |       |          |                            |
|                  | AB | 5560760       | 10/1/96   | Toeppen          |       |          |                            |
|                  | AC | 6566519       | 5/20/03   | Nickel et al.    |       |          |                            |
|                  | AD | 6723138       | 4/20/04   | Nickel et al.    |       |          |                            |
|                  | AE | 4579949       | 4/1/86    | Rochat et al.    |       |          |                            |
|                  | AF | 4585878       | 4/29/86   | Jost et al.      |       |          |                            |
|                  | AG | 4666455       | 5/19/87   | Jost et al.      |       |          |                            |
|                  | AH | 5817832       | 10/6/98   | Wallquist et al. |       |          |                            |
|                  | AI | 4613669       | 9/23/89   | Cassaret al.     |       |          |                            |
|                  | AJ | 4415685       | 11/15/83  | Iqbal et al.     |       |          |                            |
|                  | AK | 4490542       | 12/25/84  | Iqbal et al.     |       |          |                            |
|                  | AL |               |           |                  |       |          |                            |

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

|  |    | DOCUMENT NUMBER | PUB. DATE | COUNTRY |  |  | TRANSLATION       |
|--|----|-----------------|-----------|---------|--|--|-------------------|
|  | BA | EP 0094911      | 11/23/83  | EPO     |  |  | See AE above      |
|  | BB | EP 0133156      | 2/13/85   | EPO     |  |  | See AF & AG above |
|  | BC | EP 0755933      | 1/29/97   | EPO     |  |  | See AH above      |
|  | BD | EP 0098808      | 1/18/84   | EPO     |  |  | See AI above      |
|  | BE | EP 0061426      | 9/29/82   | EPO     |  |  | See AJ & AK above |
|  | BF | WO 0034248      | 6/15/00   | WIPO    |  |  | English           |
|  | BG |                 |           |         |  |  |                   |
|  | BH |                 |           |         |  |  |                   |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

|   |   |
|---|---|
| CA  | PCT ISR for PCT/EP 03/05968, 9/24/2003                                |
| CB  | English Translation of PCT IPER for PCT/EP 03/05968, mailed 3/20/2005 |
| CC  |   |
| CI  |   |
| EXAMINER  | DATE CONSIDERED   |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |